

HOP-Rec

High-Order Proximity for Implicit Recommendation

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Recommender Systems are software tools and techniques providing suggestions for items **to be of use** to a user



Francesco, R., R. Lior, and S. Bracha. "Introduction to Recommender Systems Handbook, RecommenderSystems Handbook." (2011).

Recommended



OVERVIEW CHARTS GENRES & MOODS NEW RELEASES DISCOVER CONCERTS

Playlists Made Just For You

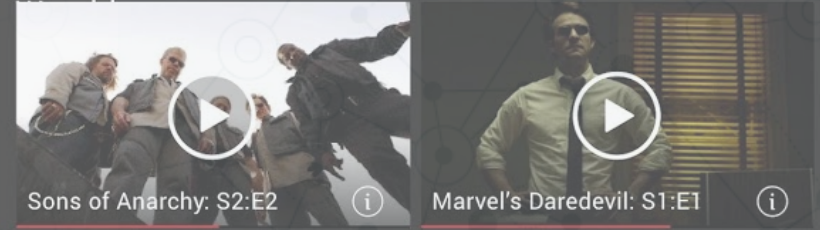
Discover Weekly, Release Radar, New Releases For You. Includes descriptions like 'Your weekly mixtape of fresh music' and 'Never miss a new release'.

Moon In Your Mouth, Move (GLD Remix), Million Dollar Secret, Hot Thoughts (David Andrew Sitek Remix). Includes album art and artist names.

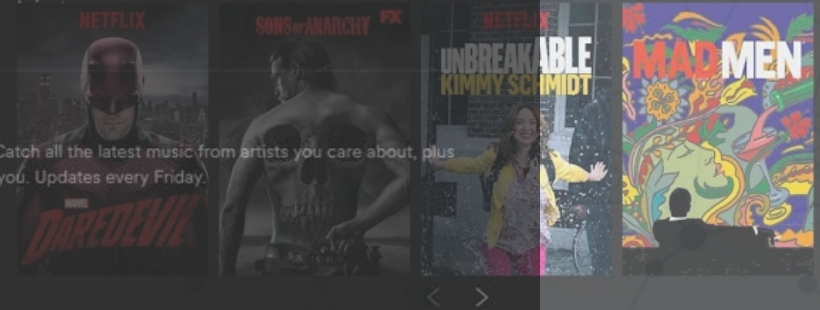
All types of playlists.

Ultimate 1500 Thread Count Queen 4pc Bed Sheet Set, Dance Hits. Includes product images, ratings, and prices.

Continue



My



Top Picks For You

Product recommendations including Diamond Days, Steve Aoki & Louis Tomlinson, and Just Hold On (Remixes). Includes prices and ratings.

Customers Who Bought This Item Also Bought. Includes recommendations like Dance Hits, Royal Hotel's 1200 Thread Count Queen Size Sheet, and Chezmoi Collection White Goose Down Alternative Comforter.

Collaborative Filtering

Make
predictions
by collecting
preference
information
from users




























Figure: <https://www.youtube.com/watch?v=FSKloPylekM>

Collaborative Filtering

Latent Factor Model

Discover **shared latent factors** between users and items by decomposing user-item interaction matrix

Graph-based Model

Explore the **relationships** between users and items within a **graph structure**

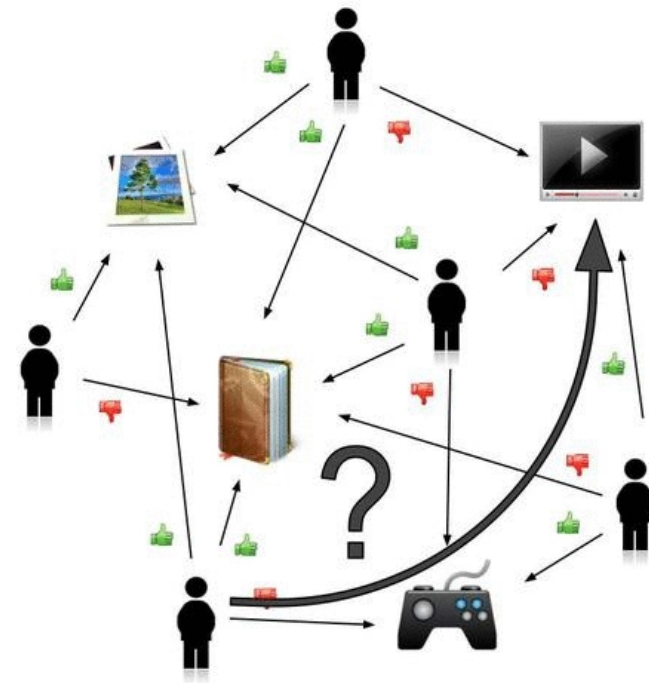


Figure: https://en.wikipedia.org/wiki/Collaborative_filtering

Related Works

Latent Factor Model

- Matrix Factorization (MF)
- Bayesian Personalized Ranking (BPR)
- Weighted Approximate-Rank Pairwise (WARP) loss
- K-Order Statistic (K-OS) loss

Pointwise

$$\begin{matrix} \mathbf{U}_1 \\ \vdots \\ \mathbf{U}_m \end{matrix} \begin{matrix} \mathbf{I}_2 & & \mathbf{I}_n \\ \left[\begin{array}{ccc} e_{11} & e_{12} & \dots & e_{1n} \\ e_{21} & e_{22} & & e_{2n} \\ \vdots & & & \vdots \\ e_{m1} & e_{m2} & \dots & e_{mn} \end{array} \right] \end{matrix} \approx \begin{bmatrix} U \\ \\ \end{bmatrix} \begin{bmatrix} I & & \\ & I & \\ & & I \end{bmatrix}$$

Pairwise

User-Item interaction matrix

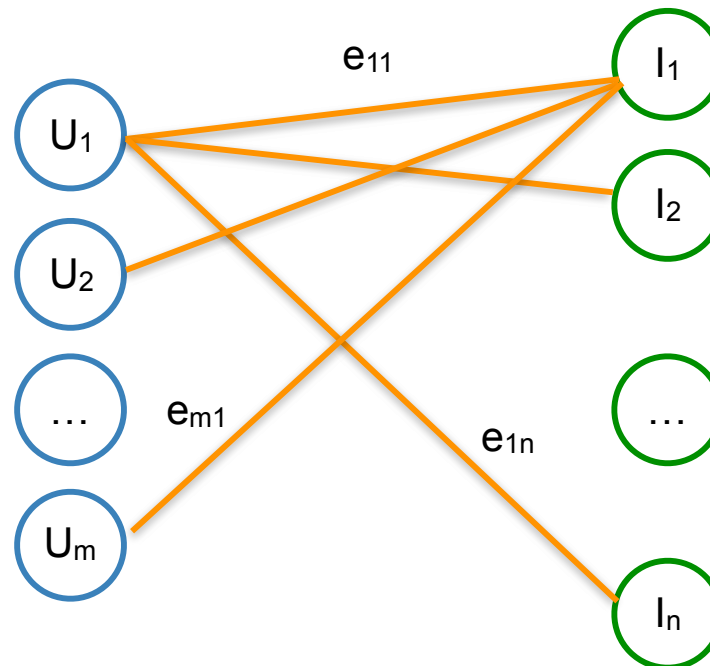
User latent factors

Item latent factors

Related Works

Graph-based Model

- PageRank
- ItemRank
- S-step random walk distribution (hitting time)
- Popularity-based Re-ranking ($RP^3(\beta)$) (transition probability)



Motivation

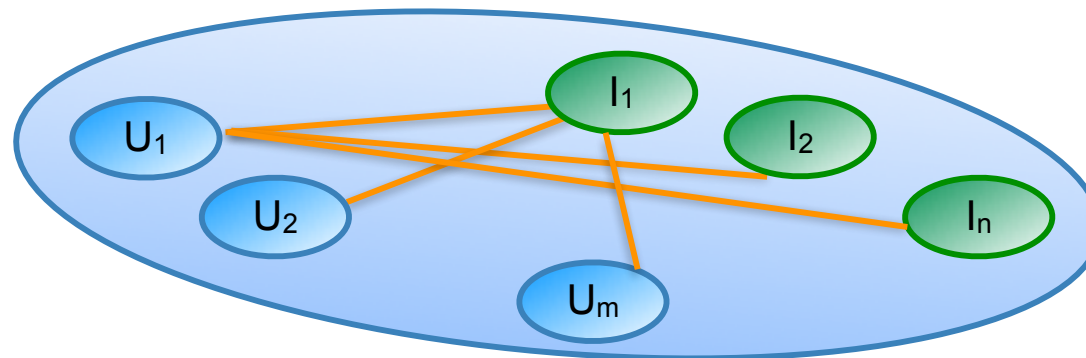
Motivation: Problem

Latent Factor Model

- Only discriminate shallow observations within user-item interaction

Graph-based Model

- Explore higher-order proximities within graph, but unreached item will not be affect remotely

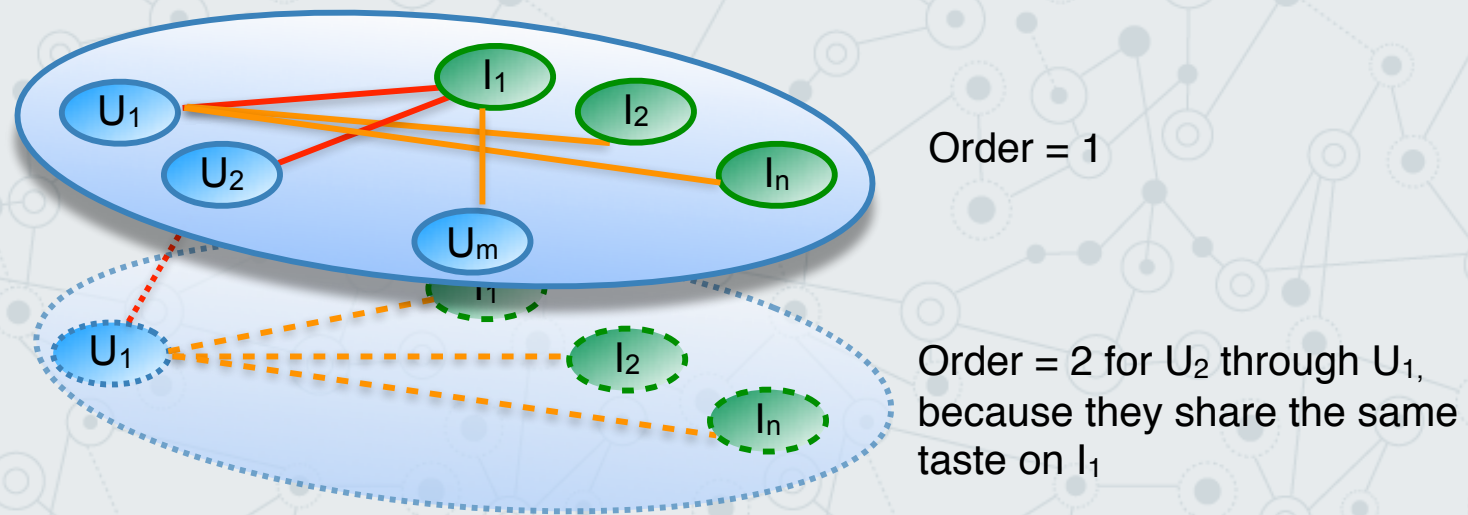


Park, Haekyu, Jinhong Jung, and U. Kang. "A comparative study of matrix factorization and random walk with restart in recommender systems." *Big Data (Big Data)*, 2017 IEEE International Conference on. IEEE, 2017.

Motivation: Hybrid

HOP-Rec = Latent Factor Model + Graph-based model

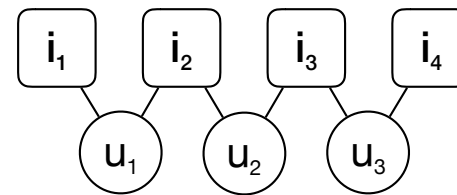
- Order-aware objectives
- Latent factor based



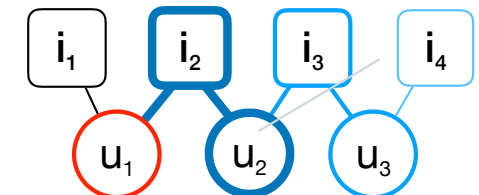
Motivation: Assumption

Assumptions:

- The preference of unknown items could be **estimated from indirect observations**.
- The estimation should be **ordered by the neighborhood proximities**.



(a)



(b)

	i_1	i_2	i_3	i_4
u_1	1st	1st		
u_2		1st	1st	
u_3			1st	1st

(c)

	i_1	i_2	i_3	i_4
u_1	1st	1st	2nd	3rd
u_2	2nd	1st	1st	2nd
u_3	3rd	2nd	1st	1st

(d)

Model: Methodology

Objective function

- Hybrid

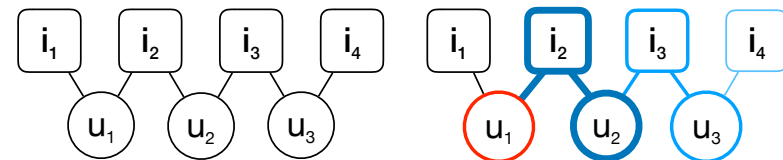
$$\mathcal{L}_{HOP} = \sum_{\substack{1 \leq k \leq K \\ u, (i, i')}} \overbrace{\mathcal{C}(k) \mathbb{E}_{\substack{i \sim P_u^k \\ i' \sim P_N}}}^{\text{graph model}} \overbrace{[\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i)]}^{\text{factorization model}} + \lambda_\Theta \|\Theta\|_2^2$$

- Pairwise

$$\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i) = \mathbb{1}_{\{\theta_u^\top \theta_{i'} - \theta_u^\top \theta_i > \epsilon_k\}} \log [\sigma(\theta_u^\top \theta_{i'} - \theta_u^\top \theta_i)]$$

- Optimization Flow

- ➔ Sample path on graph
- ➔ Optimize pairwise relationship between positive and negative items of different orders



(a)

(b)

	i_1	i_2	i_3	i_4
u_1	1st	1st		
u_2		1st	1st	
u_3			1st	1st

(c)

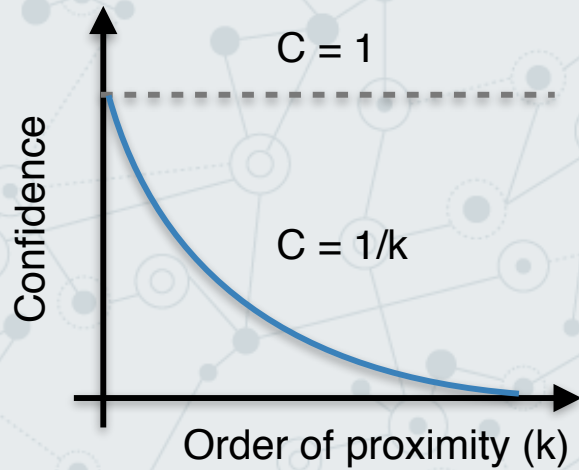
	i_1	i_2	i_3	i_4
u_1	1st	1st	2nd	3rd
u_2	2nd	1st	1st	2nd
u_3	3rd	2nd	1st	1st

(d)

Three Stories

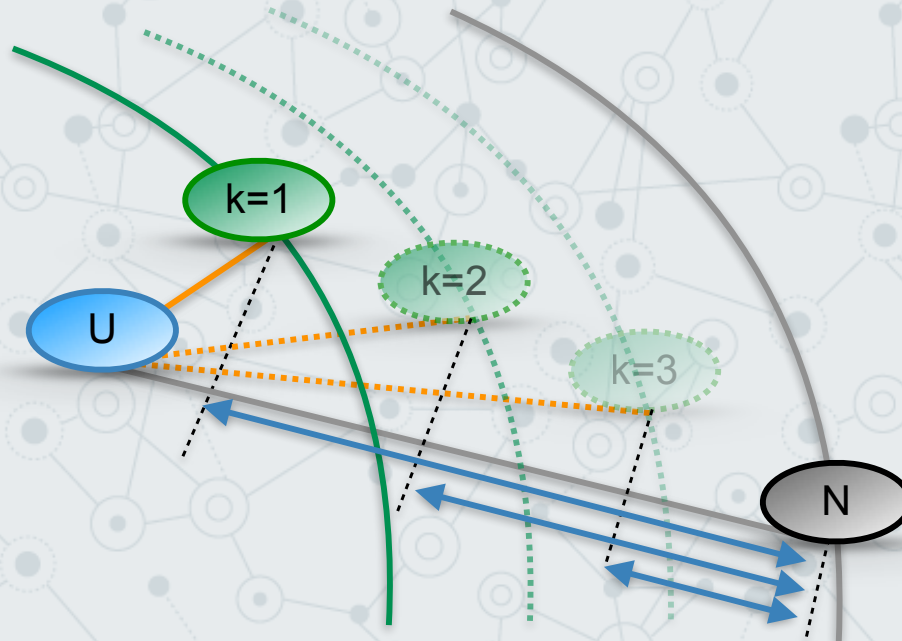
Model: Details

Confidence model



$$\mathcal{L}_{HOP} = \sum_{\substack{1 \leq k \leq K \\ u, (i, i')}} \underbrace{\mathcal{C}(k)}_{\text{graph model}} \mathbb{E}_{\substack{i \sim P_u^k \\ i' \sim P_N}} \underbrace{[\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i)]}_{\text{factorization model}} + \lambda_\Theta \|\Theta\|_2^2$$

$$\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i) = \mathbb{1}_{\{\theta_u^\top \theta_{i'} - \theta_u^\top \theta_i > \epsilon_k\}} \log [\sigma(\theta_u^\top \theta_{i'} - \theta_u^\top \theta_i)]$$

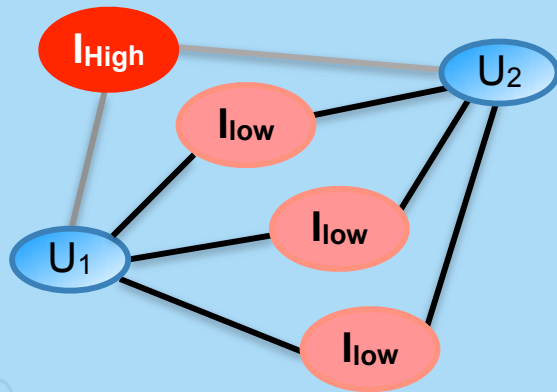
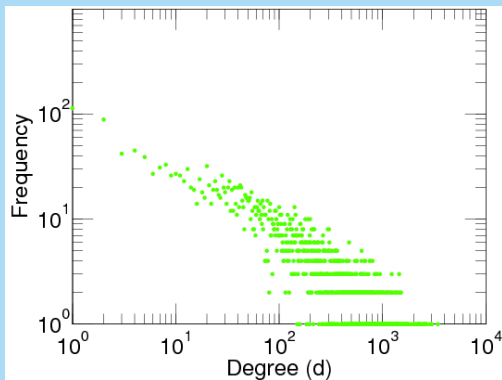


- Items discovered at different order should be **distinguished from other negative items**

Model: Details

Degree Sampling

Movielens-1M: Movie degree



- For each user, **probability of sampling paths with high degree items will be low**
- **Balanced by increase probability of sampling high degree items**

$$\mathcal{L}_{HOP} = \sum_{\substack{1 \leq k \leq K \\ u, (i, i')}} \overbrace{\mathcal{C}(k)}^{\text{graph model}} \underbrace{\mathbb{E}_{\substack{i \sim P_u^k \\ i' \sim P_N}}}_{\text{factorization model}} [\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i)] + \lambda_\Theta \|\Theta\|_2^2$$

$$p_x^k(y) = \begin{cases} \frac{a_{xy} \text{deg}(y)}{\sum_{y'} a_{xy'} \text{deg}(y')} & \text{if } k = 1 \text{ and } x \in U, \\ \frac{a_{yx} \text{deg}(y)}{\sum_{y'} a_{y'x} \text{deg}(y')} & \text{if } k = 1 \text{ and } x \in I, \\ p_x^1(\alpha) p_\alpha^{k-1}(\beta) p_\beta^1(y) & \text{if } k > 1, \end{cases}$$

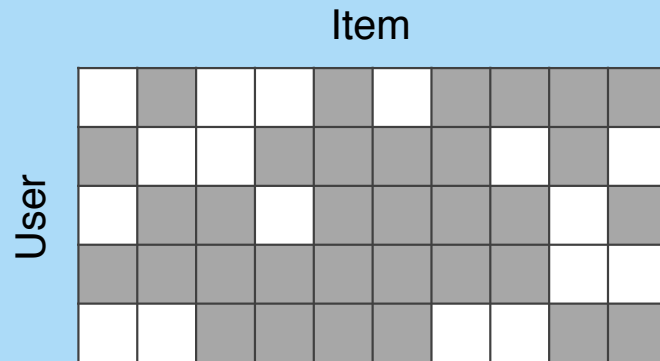
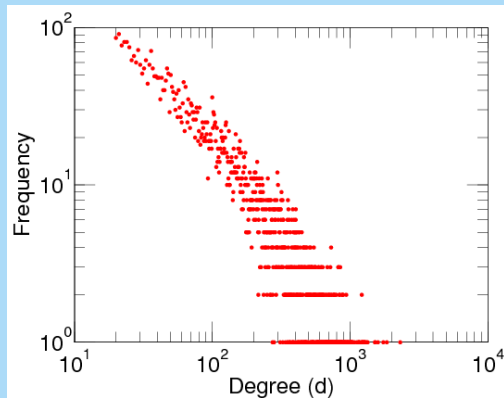
Christoffel, Fabian, et al. "Blockbusters and wallflowers: Accurate, diverse, and scalable recommendations with random walks." *Proceedings of the 9th ACM Conference on Recommender Systems*. ACM, 2015.

Model: Details

Negative sampling

- For each user, **most** items are **not rated** (negative) rather rated (positive)
- We **uniformly** draw negative items from all items

Movielens-1M: User degree



$$\mathcal{L}_{HOP} = \sum_{\substack{1 \leq k \leq K \\ u, (i, i')}} \overbrace{\mathcal{C}(k) \mathbb{E}_{\substack{i \sim P_u^k \\ i' \sim P_N}}}}^{\text{graph model factorization model}} \left[\mathcal{F}(\theta_u^\top \theta_{i'}, \theta_u^\top \theta_i) \right] + \lambda_\Theta \|\Theta\|_2^2$$

Experiment: Implicit Feedback

To predict whether user U_m interact with item I_n

$$\begin{bmatrix} 1 & 0 & \dots & 1 \\ 0 & 0 & & 1 \\ \vdots & & & \vdots \\ 1 & 1 & \dots & 0 \end{bmatrix} \approx \begin{bmatrix} U \\ I \end{bmatrix}$$

Datasets

Table 1: Datasets

Dataset	CiteUlike ^a	MovieLens-1M ^b	MovieLens-20M ^b	Amazon-Book ^c
Users ($ U $)	3,527	6,034	136,674	449,475
Items ($ I $)	6,339	3,125	13,680	292,65
Feedback ($ E $)	77,546	574,376	9,977,451	6,444,944
Density	0.347%	3.046%	0.534%	0.005%

^a http://www.wanghao.in/data/ctrsr_datasets.rar

^b <https://grouplens.org/datasets/movielens>

^c <http://jmcauley.ucsd.edu/data/amazon>

Experiment: Evaluation

Performance comparison

Table 2: Performance comparison

	CiteUlike			MovieLens-1M			MovieLens-20M			Amazon-Book		
	P@10	R@10	MAP@10	P@10	R@10	MAP@10	P@10	R@10	MAP@10	P@10	R@10	MAP@10
MF	4.1%	13.1%	6.7%	17.7%	13.1%	11.7%	14.9%	14.0%	11.3%	0.7%	3.7%	1.4%
BPR	3.8%	14.2%	6.4%	18.1%	13.2%	12.5%	13.3%	14.3%	10.4%	1.0%	5.3%	2.5%
WARP	5.4%	18.3%	9.1%	24.8%	18.5%	18.5%	20.7%	21.4%	17.2%	1.4%	7.6%	3.2%
K-OS	5.6%	19.4%	9.5%	23.0%	17.3%	16.4%	19.6%	20.5%	15.7%	1.5%	7.9%	3.5%
$RP^3(\beta)$	5.9%	21.2%	3.2%	22.8%	17.2%	14.2%	17.3%	19.4%	10.3%	-	-	-
HOP	5.9%	21.3%	*10.8%	*25.9%	*20.5%	*19.6%	*21.2%	*22.3%	*17.9%	1.5%	7.9%	*3.6%
%Improv.	0.0%	0.5%	13.7%	4.4%	10.8%	5.9%	2.4%	4.2%	4.1%	0.0%	0.0%	2.9%

Experiment: Evaluation

K-order sensitivity

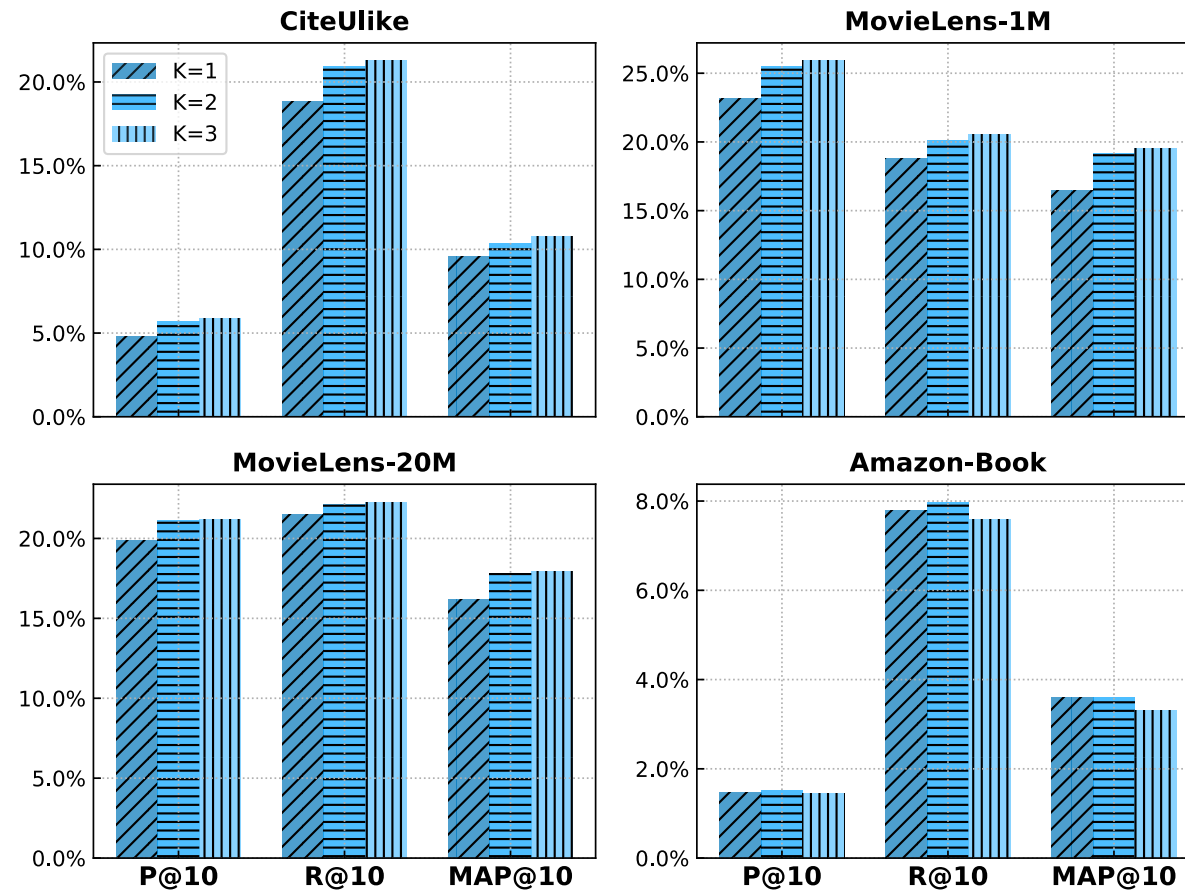


Figure 2: Sensitivity analysis with respect to K

Conclusion



Take-home Messages

- We demonstrate an approach that combines both representative CF-based methods
- High-order information within user-item interaction matrix is helpful for implicit recommendation



Conclusion



Future Works

- Conduct comprehensive study on walking strategies
- Extend HOP-Rec to explicit feedback problems
- Examine other metrics: diversity
- Develop more efficient negative sampling strategy
- Develop other forms of confidence model

Thanks!

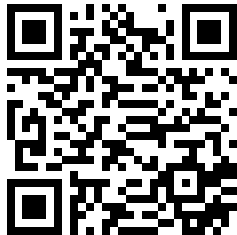
I am Jheng-Hong Yang

You can find me at:

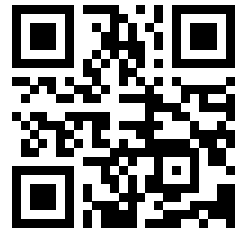
jhyang@citi.sinica.edu.tw



DOI



CFDA & CLIP
Labs



Source Code:
ProNet

